



# CANTOR FITZGERALD GOVERNMENT MONEY

Please complete this application to establish an account directly with Cantor Fitzgerald Government Money Market Fund (the "Fund"). Should you need assistance completing this application, please call 1-888-547 FUND.

Shares of the fund may be purchased only through CF Secured, LLC or its affiliates ("Cantor").

### Important information about procedures for opening a new account

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify and record information that identifies each account holder. What this means for you: When you open an account, we will ask for your name, address, date of birth or other information that will allow us to identify you. We may also ask to examine certain identifying documents.

### Client information (please type or print)

.....  
Name of institution/individual

.....  
Account title

.....  
Taxpayer ID number or Social Security number

.....  
Attention

.....  
Telephone number

.....  
Street address

.....  
City, State

.....  
Zip code

NOTE: Appendix A must be completed by the person opening a new account on behalf of a legal entity. A legal entity includes a corporation, limited liability company, or other legal entity that is created by filing a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States or a foreign country.

### Authorized individuals

.....  
Name Title Signature

.....  
Name Title Signature

.....  
Name Title Signature

.....  
Name Title Signature

**Share class selection and investment information**

**Investor Shares: CFGXX, Fund 200**  
Minimum initial investment: \$1,000

**Investor Shares: CFYXX, Fund 201**  
Minimum initial investment: \$1,000,000

**Wire instructions**

To have wiring instructions on file with BNY Mellon Investment Servicing (US) Inc., the Fund's transfer agent, please complete the following section (please use separate sheet for additional bank information):

.....  
Bank name ABA number

.....  
Street address City, State Zip code

.....  
Account number Account name

.....  
For further credit to account number Account name

**Dividend option**

Unless otherwise noted, monthly dividends and any capital gains will be reinvested in additional fund shares.

Fed wire to bank (specified)

**Signature(s) and tax certification(s)**

I warrant that I have full authority to purchase shares of the Fund pursuant to this Application, have received and read a current prospectus for the Fund and agree to be bound by its terms, and that all representations contained in this Application and any representation accompanying this Application pursuant to the regulatory authority of any State are true. I agree that the Fund, BNY Mellon Investment Servicing (US) Inc., UBS Asset Management (US) Inc., CF Secured, LLC or any of their subsidiaries or affiliates and their officers, directors, trustees, employees or agents will not be liable for any loss, expense or cost for acting upon any instruction or inquiries believed to be genuine. The shareholder, by signing below, authorizes the Fund and BNY Mellon Investment Servicing (US) Inc. to rely on telephone instructions from persons reasonably believed to be Authorized Individuals. I warrant that I am duly authorized to sign this application and authorize the furnishing of the following Taxpayer Certification.

**Taxpayer Certification:** Under penalties of perjury, I certify that (1) the Taxpayer Identification Number(s) shown on the first page of this form is my correct Taxpayer Identification Number(s) (or I am waiting for a number to be issued to me), **and** (2) I am not subject to backup withholding because: **(a)** I am exempt from backup withholding, or **(b)** I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or **(c)** the IRS has notified me that I am no longer subject to backup withholding, **and** (3) I am a US person (including a US resident alien). **Certification Instructions:** You must cross out item (2) above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

.....  
Corporate officer, partner, trustee, etc. (if applicable)

.....  
Signature

.....  
Title

.....  
Date

.....  
Individual and/or joint tenant (if applicable) ("Joint tenants with rights of survivorship" unless otherwise specified)

.....  
Signature

.....  
Title

.....  
Date

**Email this completed form to:**

[UBInewaccount@bnymellon.com](mailto:UBInewaccount@bnymellon.com)

For inquiries and orders, please call 1-888-547 FUND (Monday through Friday, 8:30 AM – 5:00 PM Eastern Time).

**Wire instructions for purchases:**

Instruct your bank to transfer federal funds by wire:

Bank Name: Bank of New York Mellon  
ABA: 011001234  
Credit: 000073-5515  
Further Credit: BNY Mellon Investment Servicing (US) Inc. as Agent for UBS Funds  
Beneficiary Fund/Account Number (Shareholder account number)

# APPENDIX A

## Certification regarding Beneficial Owners of Legal Entity

### I. General Instructions

#### What is this form?

To help the government fight financial crime, Federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. Legal entities can be abused to disguise involvement in terrorist financing, money laundering, tax evasion, corruption, fraud, and other financial crimes. Requiring the disclosure of key individuals who own or control a legal entity (i.e., the beneficial owners) helps law enforcement investigate and prosecute these crimes.

#### Who has to complete this form?

This form must be completed by the person opening a new account on behalf of a legal entity with any of the following US financial institutions: (i) a bank or credit union; (ii) a broker or dealer in securities; (iii) a mutual fund; (iv) a futures commission merchant; or (v) an introducing broker in commodities.

For the purposes of this form, a **legal entity** includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States or a foreign country. **Legal entity** does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

#### What information do I have to provide?

This form requires you to provide the name, address, date of birth and Social Security number (or passport number or other similar information, in the case of Non-U.S. Persons) for the following individuals (i.e., the **beneficial owners**):

- (i) Each individual, if any, who owns, directly or indirectly, 25 percent or more of the equity interests of the legal entity customer (e.g., each natural person that owns 25 percent or more of the shares of a corporation); **and**
- (ii) An individual with significant responsibility for managing the legal entity customer (e.g., a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, or Treasurer).

The number of individuals that satisfy this definition of "beneficial owner" may vary. Under section (i), depending on the factual circumstances, up to four individuals (but as few as zero) may need to be identified. Regardless of the number of individuals identified under section (i), you must provide the identifying information of one individual under section (ii). It is possible that in some circumstances the same individual might be identified under both sections (e.g., the President of Acme, Inc. who also holds a 30% equity interest). Thus, a completed form will contain the identifying information of at least one individual (under section (ii)), and up to five individuals (i.e., one individual under section (ii) and four 25 percent equity holders under section (i)).

The financial institution may also ask to see a copy of a driver's license or other identifying document for each beneficial owner listed on this form.

**II. Certification of Beneficial Owner(s)**

Persons opening an account on behalf of a legal entity must provide the following information:

a. Name and title of natural person opening account

b. Name, type, and address of legal entity for which the account is being opened

c. The following information for **each** individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above:

Name	Date of birth	Address (Residential or business street address)	For U.S. persons: Social Security number	For non-U.S. persons: Social Security number, passport number and country of issuance, or other similar identification number <sup>1</sup>
.....	.....	.....	.....	.....
.....	.....	.....	.....	.....
.....	.....	.....	.....	.....
.....	.....	.....	.....	.....

(If no individual meets this definition, please write "Not Applicable.")

d. The following information for **one** individual with significant responsibility for managing the legal entity listed above, such as:

- An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or
- Any other individual who regularly performs similar functions.

(If appropriate, an individual listed under section (c) above may also be listed in this section (d)).

<sup>1</sup> In lieu of a passport number, Non-U.S. Persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

Name	Date of birth	Address (Residential or business street address)	For U.S. persons: Social Security number	For non-U.S. persons: Social Security number, passport number and country of issuance, or other similar identification number <sup>1</sup>
.....	.....	.....	.....	.....
.....	.....	.....	.....	.....
.....	.....	.....	.....	.....
.....	.....	.....	.....	.....

I, ..... (name of natural person opening account), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

.....  
Signature

.....  
Date

.....  
Legal Entity Identifier (optional)

<sup>1</sup> In lieu of a passport number, Non-U.S. Persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.